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Mary Ann Gilbert  
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Califon, NJ 07830

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**SEP 03 2002**

**OFFICE OF PETITIONS**

In re Application of  
Lutnick et al.

Application No. 10/015,738

Filed: 12 December, 2001

For: METHODS AND SYSTEMS FOR TRADING FUTURES CONTRACTS FOR INTANGIBLE ASSETS

Dear Ms. Gilbert:

You are named as the legal representative of the deceased joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application the deceased inventor will be designated therein as a joint inventor.

As the named legal representative you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to the undersigned at 703/308-6918. Requests for information regarding your application should be directed to the File Information Unit at 703/308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at 703/308-9726 or 1-800-972-6382 (outside the Washington D.C. area).

Douglas I. Wood  
Senior Petitions Attorney  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

FISH & NEAVE  
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Paper No. 10

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**OFFICE OF PETITIONS**

In re Application of :  
Lutnick, Kirwin, and Gilbert :  
Application No. 10/015,738 : DECISION ACCORDING STATUS  
Filed: 12 December, 2001 : UNDER 37 CFR 1.47(a)  
Attorney Docket No. CF-030 :

This is in response to the renewed petition under 37 CFR 1.47(a),  
filed on 19 August, 2002.

The petition is **granted**.

Petitioner has shown that the legal representative of the  
deceased non-signing inventor has refused to join in the filing  
of the above-identified application after having been sent a copy  
of the application papers. Specifically, the statement of  
petitioners' registered patent attorney, Joel Weiss, establishes  
that the legal representative was mailed a copy of the  
application papers but has failed to execute the declaration.

The above-identified application and papers have been reviewed  
and found in compliance with 37 CFR 1.47(a). This application is  
hereby accorded Rule 1.47(a) status.

As provided in Rule 1.47(c), this Office will forward notice of  
this application's filing to the non-signing legal representative  
at the address given in the Declaration. Notice of the filing of  
this application will also be published in the Official Gazette.

After this decision is mailed, the application will be forwarded  
to the Office of Initial Patent Examination for further  
processing.

Telephone inquiries related to this decision should be directed to the undersigned at 703-308-6918.



Douglas I. Wood  
Senior Petitions Attorney  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy